SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 193/

OMB APPROVAL

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	,		or Section 30(h) of the Investment Company Act of 1940						
		g Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>COMFORT SYSTEMS USA INC</u> [FIX]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Lane Brian	ddress of Reporting Person [*] <u>n E.</u> (First) (Middle) DRT SYSTEMS USA, INC. G DRIVE, SUITE 400 TX 77057		X	Director	10% Owner				
				- x	Officer (give title	Other (specify			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
C/O COMFOR	RT SYSTEMS	USA, INC.	03/05/2020		President/Chief Executive Off.				
675 BERING	DRIVE, SUIT	TE 400							
			4. If Amendment, Date of Original Filed (Month/Day/Year)		vidual or Joint/Group Fi	ling (Check Applicable			
(Street)				Line)					
HOUSTON	ΤХ	77057			Form filed by One Re	eporting Person			
					Form filed by More th Person	nan One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	Form: Direct	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	03/05/2020		A		22,638(1)	A	\$0	243,147	D		
Common Stock	03/05/2020		A		14,948(2)	A	\$0	258,095	D		
Common Stock	03/05/2020		F		5,858 ⁽³⁾	D	\$42.24	252,237	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

l										ounded	,					
	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Each restricted stock unit granted on March 5, 2020 represents a right to receive one share of common stock and will vest in equal installments over a three-year vesting schedule.

2. Reflects dollar-denominated performance restricted stock units granted on March 8, 2017 that vested on March 5, 2020. These units vested as a result of the Company's 2017-2019 relative Total Shareholder Return and 2017-2019 Company average EPS - the applicable performance measures.

3. Forfeited shares are related to dollar-denominated performance restricted stock units that vested on March 5, 2020 and were priced based on the closing price of the Company common stock on March 5, 2020.

/s/ Laura F. Howell, by power 03/09/2020

of attorney ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See